ALEXANDER FORBES INVESTMENTS LIMITED
Registration number: 1997/000595/06
FAIS licence number: 711
Insurer number: 10/10/1/155

INVESTMENTS

Alexander Forbes, 115 West Street, Sandown, 2196
PO Box 786055, Sandton, 2146
Telephone number: +27 (0) 11 505 6000
Email address: www.alexforbes.com/za/en/
Website: www.alexforbes.com

Beneficial Owner Declaration - Companies

Company name

Company registration number

In terms of the Companies Act 71 of 2008 as amended, companies are required to keep a register of beneficial owners and to file this information with the CIPC on a regular basis. As an Accountable Institutions Alexander Forbes is also required to identity beneficial owners of its clients and keep the information up to date.

A beneficial owner in the context of a legal person means the following:

- **Equity (Shareholder) Owner** - a natural person who directly or indirectly ultimately owns or exercises effective control of the entity. To be completed on part A.
- **Controlling persons by other means** - If no equity owners are identified, the beneficial owner is an individual who exercises control over the management of the entity by other means (including power of attorney or appointed as a nominee director/ shareholder or appointed via court order, or power to appoint or remove most of the board of directors or senior management of a legal person etc.). To be completed on part B.
- **Management Control** - If no controlling parties are identified, the beneficial owners are all the individuals holding the position of senior management of the entity, i.e. (Director or Chief Executive Officer, or Executive committee). To be completed on part C.

Other legal entity types
For all legal entity types other than companies, please refer to Annexure A (page 3) for detailed guidance on the beneficial ownership information required for each entity type as defined therein. Upon review, complete section A, B, or C below, as applicable.

Beneficial owners who are natural persons who directly or indirectly own 5% interest in the entity

a) Equity owners (Shareholders) - 5%
If applicable, please list every individual (natural person) who owns, directly or indirectly, 5% or more of the equity interests in the legal entity.

Full name	SA ID number	Passport number (if non-SA citizen)	Residential address	Shareholding percentage
				%
				%
				%
				%
				%

b) Controlling Person
If applicable, please list every individual who exercises control over the entity.

Full name	SA ID number	Passport number (if non-SA citizen)	Residential address	Capacity by which the person exercises control

c) Management Control
If applicable, please list every individual who exercises control over the management of the entity.

Full name	SA ID number	Passport number (if non-SA citizen)	Residential address	Capacity by which the person exercises control

Supporting documentation required

- If a beneficial owner (natural person) is a non-SA citisen, please provide a clear, valid (at least 30 days prior to expiry date) certified copy of the passport.
- Signed organogram (dated within the last 12 months) showing 100% shareholding. To be signed by Auditor/accountant/legal counsel/company secretary (designation and full name to be included).

Declaration

I, the authorised of the above-mentioned company, hereby confirm that the information provided regarding the ownership and control structure for the purpose of identifying an verifying the beneficial owner is accurate and correct.

I undertake to provide AFIUT with updated ownership and control structure if any of the information changes.

Full name

Surname

Signed at (place)

Signature _____ Date D D M M Y Y Y Y

Personal information, privacy and security

Find out how we protect your personal information, privacy and security.